

Attorney's Docket No. 003386.P010



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jonathan M. Goldberg, et al.

Application No.: 09/754,385

Filed: January 8, 2001

For:
KNOWLEDGE NEIGHBORHOODS

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on March 7, 2001.

(Date of Deposit)

Leah Resendez

(Typed or printed name of person mailing correspondence)

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 x 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

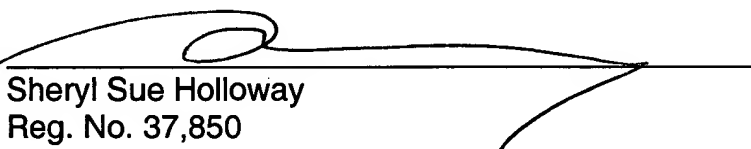
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: MAR. 7, 2001


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Form PTO-1449 (Modified)

Atty Docket No.:

Serial No.:

003386.P010

09/754,385

List of Patents and Publications Statement
(Use several sheets if necessary)

Applicant:

Jonathan M. Goldberg, et al.

Page 1 of 8

Filing Date: January 8, 2001

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.		Class	Sub- Class	Filing date if appropriate
	AA	4,914,586	Swinehart et al.	364	200	11/06/1987
	AB	4,970,681	Bennett	364	900	10/20/1987
	AC	5,051,891	MacPhail	364	200	12/23/1987
	AD	5,247,575	Sprague, et al.	380	9	04/24/1992
	AE	5,247,661	Hager, et al.	395	600	09/10/1990
	AF	5,251,131	Masand, et al.	364	419.08	07/31/1991
	AG	5,251,159	Rowson	364	578	03/20/1991
	AH	5,276,869	Forrest, et al.	395	600	09/10/1990
	AI	5,297,057	Kramer	364	512	11/13/1991
	AJ	5,331,579	Maguire, Jr. et al.	364	578	04/02/1993
	AK	5,333,237	Stefanopoulos, et al.	395	12	06/08/1992
	AL	5,428,740	Wood et al.	395	161	10/27/1994
	AM	5,438,526	Itoh, et al.	364	578	07/07/1992
	AN	5,473,732	Chang	395	77	02/07/1995
	AO	5,481,741	McKaskle, et al.	395	800	09/22/1993
	AP	5,488,725	Turtle, et al.	395	600	03/30/1993
	AQ	5,493,729	Nigawara, et al.	395	61	07/20/1994
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	AS	5,530,852	Meske, Jr. et al.	395	600	12/20/1994
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	AU	5,544,067	Rostoker, et al.	364	489	06/14/1993
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	BA	5,659,731	Gustafson	395	604	06/19/1995
	BB	5,659,732	Kirsch	395	605	05/17/1995
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	BD	5,704,017	Heckerman, et al.	395	61	02/16/1996

Examiner

Date Considered

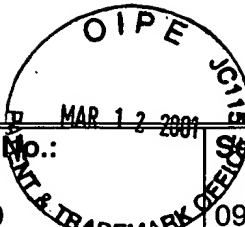
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)		Atty Docket No.: 1 2 2001 003386.P010		Serial No.: 09/754,385	
List of Patents and Publications Statement (Use several sheets if necessary) Page 2 of 8				Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	

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REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initials	Document No.		Class	Sub-Class	Filing date if appropriate	
	BE	5,717,914	Husick, et al.	395	605	
	BF	5,717,923	Dedrick	395	613	
	BG	5,720,001	Nguyen	395	10	
	BH	5,724,567	Rose et al.	395	602	
	BI	5,727,129	Barrett, et al.	395	12	
	BJ	5,754,938	Herz, et al.	455	4.2	
	BK	5,794,210	Goldhaber, et al.	705	14	
	BL	5,802,518	Karaev, et al.	707	9	
	BM	5,812,434	Nagase, et al.	364	578	
	BN	5,835,087	Herz, et al.	345	327	
	BO	5,855,008	Goldhaber, et al.	705	14	
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	BQ	5,892,909	Grasso, et al.	395	200.31	
	BR	5,913,212	Sutcliffe, et al.	707	6	
	BS	5,931,907	Davies, et al.	709	218	
	BT	5,950,200	Sudai, et al.	707	9	
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	BW	5,999,932	Paul	707	10	
	BX	5,999,975	Kittaka, et al.	709	224	
	BY	6,006,200	Boies, et al.	705	26	
	BZ	6,006,221	Liddy, et al.	707	5	
	CA	6,014,644	Erickson	705	37	
	CB	6,021,439	Turek, et al.	709	224	
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	CH	5,924,090	Krellenstein	707	5	

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FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Class	Sub-Class	Trans-lation
	CI	WO 99/39279	05/08/1999	PCT	G06F	17/30	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

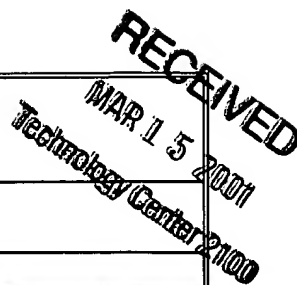
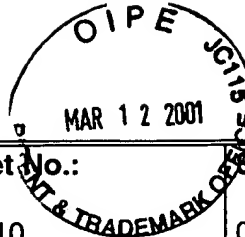
CJ	Leonard N. Foner, "A Multi-Agent Referral System for Matchmaking", MIT Media Lab
CK	Welcome to enonymous.com, Web page, "Be Privacy Aware ... Be enonymous!", http://www.enonymous.com/default.asp .
CL	"Clustering and Information Sharing in an Ecology of Cooperating Agents", Leonard N. Foner, <u>AAAI Workshop on Information Gathering in Distributed, Heterogenous Environments '95</u> .
CM	Enonymous Web Page, "Frequently Asked Questions", http://www.enonymous.com/faq.asp .
CN	Enonymous Web Page, "How enonymous advisor beta works ...", http://www.enonymous.com/howitworks.asp .
CO	"Political Artifacts and Personal Privacy: The Yenta Multi-Agent Distributed Matchmaking System", Leonard Newton Foner, April 30, 1999, © Massachusetts Institute of Technology, 1999.
CP	"Somewhat-by-topic linearization of Yenta", Leonard Foner, last modified February 11, 1997, http://www.media.mit.edu/people/foner/Yenta/linearization-by-topic.html .
CQ	Enonymous Web Page, "the enonymous profile ..", http://www.enonymous.com/profile.asp .
CR	Enonymous Web Page, "The enonymous zone ...", http://www.enonymous.com/zone.asp .
CS	Enonymous Web Page, "What is enonymous advisor?", http://www.enonymous.com/whatisit.asp .
CT	Enonymous Web Page, "Why be enonymous?", http://www.enonymous.com/whybeenon.asp
CU	"Yenta: A Multi-Agent, Referral-Based Matchmaking System", Leonard N. Foner, <u>The First International Conference on Autonomous Agents (Agents '97)</u> , Marina del Rey, CA, 1997.
CV	"Abuzz's Mission", Abuzz, http://www.abuzz.com

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List of Patents and Publications Statement (Use several sheets if necessary) Page 4 of 8		Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	CW	"Always Thinking Ahead", Natrifical Software Technologies, http://www.natrifical.com	
	CX	"askSam for Windows Getting Started Guide", (from Software Patent Institute Database of Software Technologies), first section, May 30, 1995.	
	CY	"Autonomy Agentware™ Technology White Paper" http://www.agentware.com	
	CZ	"Autonomy Knowledge Management Products", http://www.agentware.com	
	DA	"Autonomy Knowledge Server Data Sheet", http://www.agentware.com	
	DB	"Autonomy Knowledge Update Data Sheet", http://www.agentware.com	
	DC	"Autonomy Latest News" Autonomy Home Page, http://www.agentware.com	
	DD	"Autonomy Launches New Knowledge Management Products to Help Companies Leverage Employee Expertise, Late-breaking News and Existing Information Archives", Autonomy Press Release, February 17, 1998, http://www.agentware.com	
	DE	"Autonomy Ships Agentware Products for Knowledge Management", Autonomy Press Release, April 14, 1998, http://www.agentware.com	
	DF	"Beehive", Abuzz, http://www.abuzz.com/home/benefits.htm .	
	DG	"Beehive: Beta Program", Abuzz, http://www.abuzz.com/home/demos.htm	
	DH	"Beehive: White Papers", Abuzz, http://www.abuzz.com/home/white_papers.htm	
	DI	DATA SOURCES. PP K-213, 214, 217, 224, 229 and 230, 1 st Edition 1998.	
	DJ	"Digitize Your Mind", Natrifical Software Technologies, http://www.natrifical.com	
	DK	e-Genie by Open Sesame: Your Entertainment Genie!, http://www.opensesame.com/ .	
	DL	http://www.email-software.com/pages/00108.htm , review of "Emailrobot for Exchange/SMTP".	
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List of Patents and Publications Statement (Use several sheets if necessary) Page 5 of 8		Applicant: Jonathan M. Goldberg, et al. Filing Date: January 8, 2001	
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	DM	"EZ Reader: Embedded AI for Automatic Electronic Mail Interpretation and Routing", <i>Proceedings of the Thirteenth National Conference on Artificial Intelligence</i> and the Eighth Innovative Applications of Artificial Intelligence Conference, A. Rice, J. Hsu, A. Angotti and R. Piccolo, vol 2, pp 1507-1517, August 4-8, 1996 (INSPEC Abstract).	
	DN	"Free Your Mind", Natrifical Software Technologies, http://www.natrifical.com	
	DO	"Information Management for Knowledge Amplification in Virtual Enterprises", J. Numata et al., <i>IEMC Proceedings</i> , pp.281-285, August 18-20, 1996 (INSPEC Abstract).	
	DP	"IS Puts Notes to the Test", <i>Datamation</i> , Mark Schlack, vol. 37, no. 15, pp 24-26, August 1, 1991	
	DQ	"It's Your Thought That Counts", Natrifical Software Technologies, http://www.natrifical.com .	
	DR	"Knowing What We Know", Justin Hibbard, CPM net Information Week On Line, October 20, 1997.	
	DS	"Knowledge is Power", Natrifical Software Technologies, http://www.natrifical.com .	
	DT	"Knowledge Management Evaluation Scenario", Jeff Angus with Jeetu Patel and Joe Fenner, CPM net Information Week On Line, March 16, 1998.	
	DU	"Knowledge Management Takes Industry's Center Stage", Elliot Maise, CMP net Computer Reseller News, February 2, 1998, Issue 774.	
	DV	"Knowledge Management: Fuel For Innovation", Bob Evans, CPM net Information Week On Line, October 20, 1997.	
	DW	"Knowledge Management: Great Concept...But What Is It?", Jeff Angus, Jeetu Patel and Jennifer Harty, CMP net, Information Week On Line, March 16, 1998.	
	DX	"Knowledge Management's Net Gain", Kevin Jones, ZD net, inter@ctive Week, February 24, 1998.	
	DY	PC Week "Learn Sesame gets more personal", http://www.zdnet.com/eweek/reviews/0316/16sesame.html	
	DZ	Forbes Web Page, Forbes ASAP (04-06-98): Life Span vs. Life Spam, http://www.forbes.com/asap/98/0406/074.htm	
	EA	"MAIL-MAN: A Knowledge-Based Mail Assistant for Managers", <i>Journal of Organizational Computing</i> , L.F. Motiwalla and J.F. Nunamaker, Jr., vol. 2, no. 2, pp 131-154, 1992, (abstract only).	
	EB	"Natrifical Software Technologies Introduces The Brain" (press release), Natrifical Software Technologies, http://www.natrifical.com , January 26, 1998.	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	EC	"Natrificial Software Technologies Unveils Internet Brain Publishing" (press release), Natrificial Software Technologies, http://www.natrificial.com , June 9, 1998.	
	ED	"Natrificial Software Technologies", Natrificial Software Technologies, http://www.natrificial.com .	
	EE	"Neural Agent Enable Personalized Surfing", R. Colin Johnson, CMP net TechWeb, http://www.techweb.com , February 4, 1998.	
	EF	"New eCommerce and Entertainment Web Site Demonstrates Leading Edge Personalization and Privacy Features" (press release), http://www.opensesame.com , January 20, 1998.	
	EG	NPTool Intro: Conclusion and Acknowledgments, http://www.lingsoft.fi/doc/nptool/intro/conclusion.html .	
	EH	NPTool Intro: Nptool in Outline, http://www.lingsoft.fi/doc/nptool/intro/outline.html .	
	EI	NPTool Intro: Overview, http://www.lingsoft.fi/doc/nptool/intro/overview.html .	
	EJ	NPTool Intro: Performance, http://www.lingsoft.fi/doc/nptool/intro/performance.html .	
	EK	NPTool Intro: Previous Work, http://www.lingsoft.fi/doc/nptool/intro/previous.html .	
	EL	NPTool Intro: Syntactic Description, http://www.lingsoft.fi/doc/nptool/intro/syntax.html .	
	EM	NPTool Intro: Use of a Noun Phrase Parser, http://www.lingsoft.fi/doc/nptool/intro/uses.html .	
	EN	"Open Sesame and Verity Open Doors to Personalized Software", KMWorld.com, http://www.kmworld.com , February 6, 1998.	
	EO	Open Sesame Published Papers, http://www.opensesame.com/co_02.html	
	EP	"Open Sesame site just works", Bill Burke, Business Today.com, http://www.opensesame.com , Today's Column, January 22, 1998	
	EQ	Open Sesame, http://www.opensesame.com .	
	ER	Open Sesame: Agent Sourcebook, http://www.opensesame.com/co_03.html .	
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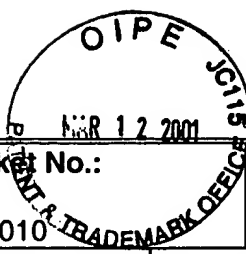
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Page 7 of 8	Filing Date: January 8, 2001	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

ES	Open Sesame: Commitment to Privacy, http://www.opensesame.com/privacy.html .
ET	Open Sesame: Press; Reviews, http://www.opensesame.com/pr_02.html .
EU	Open Sesame: Products/Demos, http://www.opensesame.com/product_05.html .
EV	Open Sesame: Products: Benefits, http://www.opensesame.com/prod_02.html .
EW	Open Sesame: Products; Competitive Summary, http://www.opensesame.com/prod_03.html .
EX	Open Sesame: Products; eGenie Live!, http://www.opensesame.com/prod_06.html .
EY	Open Sesame: Products; Learn Sesame, http://www.opensesame.com/products.html .
EZ	Open Sesame: Products; Product Datasheet, http://www.opensesame.com/prod_04.html .
FA	Open Sesame: Products; Why Personalize?, http://www.opensesame.com/prod_01.html .
FB	Open Sesame: The Company, http://www.opensesame.com/company.html .
FC	Orbital Technologies: About Orbital Technologies, http://www.orbital-tech.com/about.html .
FD	Orbital Technologies: Corporate Fact Sheet, January 1998, http://www.orbital-tech.com .
FE	Orbital Technologies: Orbital Organik White Paper, July 1997, http://www.orbital-tech.com/ .
FE	Orbital Technologies: Organik KnowledgeWare, http://www.orbital-tech.com/organikkw.html .
FG	Orbital Technologies: Organik Persona Server, http://www.orbital-tech.com/organikps.html .

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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	FH	Orbital Technologies: Our Focus, http://www.orbital-tech.com/ .
	FI	Orbital Technologies: Orbital Products, http://www.orbital-tech.com/products.html .
	FJ	http://www.email-software.com/pages/00033.htm , review of "Signup V2.0"
	FK	"The Brain Press Reviews", Natrifical Software Technologies, http://www.natrifical.com .
	FL	WinMAG.COM Web Page, The Brain: Much More The Way You Think, http://www.winmag.com/library/1998/0501/rev0078.htm
	FM	The Technology Behind Autonomy Agentware, Autonomy Technology Page, http://www.agentware.com .
	FN	"The Web at the Speed of Thought", Natrifical Software Technologies, http://www.natrifical.com .
	FO	"Topic Real-Time", Hp-UX Documentation Disc 50726-10186 (from Software Patent Institute Database of Software Technologies), February 1, 1995.
	FP	"What's New", Natrifical Software Technologies, http://www.natrifical.com , 1998.
	FQ	
	FR	
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	FV	
	FW	

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